



SVKM's
PRAVIN GANDHI COLLEGE OF LAW
IN ASSOCIATION WITH
BOMBAY STOCK EXCHANGE INSTITUTE LIMITED
OFFERS
CERTIFICATE COURSE IN SECURITIES LAW

ABOUT THE COURSE

The financial pulse of the economy is the capital markets. The emergence of these markets have enabled companies to raise a huge amount of funds for their companies from the public and given an opportunity to the people to earn returns on investments. Since huge investments are made by the general public, a stringent law has been enacted which is to be adhered to the companies. The Regulator of the Capital markets is the Securities and Exchange Board of India which from time to time frames guidelines and regulations to be complied with by the companies failing which huge amount of penalties are levied. Hence compliance with securities laws is the most crucial aspect in the case of companies who wish to trade securities in the stock market. Knowledge about their provision of related legislations, their compliances, due diligence, documentation, and contracts pertaining to the securities market is pivotal for every person interested in the securities market or corporate sector.

The course endeavours to provide conceptual clarity about the securities market, hone analytical skills of related laws and develop problem solving skills by focusing on the application of laws in various scenarios. The programme is the blend of theoretical and applied knowledge. It's a three month week end 100 hours course conducted jointly by PGCL (Pravin Gandhi College of law) and BSEIL (Bombay Stock Exchange Institute Limited) .

OFF CAMPUS LEARNING: AN UNIQUE FEATURE OF THE COURSE

In our endeavour to provide hands on learning application to ensure that the participants understand the practical application and approach of the course, off campus learning will be provided at organisations and regulatory bodies like BSE, Quasi-Judicial Bodies, Registrar to the Issue, Companies and Law Firms. The off campus learning will be an essential component of the course and will be provided concurrently with Lectures.

KEY FEATURES OF THE COURSE

- Joint certification by PGCL and Bombay Stock Exchange Institute Limited
- Emphasis on conceptual clarity and practical application
- Experienced faculty from industry
- Off Campus Learning to give practical exposure
- Discussion on contemporary case studies
- Week end programme, classes on Friday & Saturday evenings and Sunday mornings
- Total class room lecture hours – 100 hours spread weekends in three months
- Lectures will be conducted at PGCL campus, 8th Floor, Mithibai College building, Vile Parle West

TARGET AUDIENCE

- Lawyers
- Law Students interested in pursuing Corporate Laws
- Students interested in capital markets
- Company Secretaries & Chartered Accountants
- Working professional in the related field
- Any other person interested in securities market

ELIGIBILITY

- Class XII examination (any stream).
- Minimum 50 % marks

DURATION OF THE COURSE

- Three months
- Simultaneous Off campus Learning

ADMISSION PROCEDURE

- Down load the application form from www.pgcl.ac.in or collect it from the college office
- Submit the duly completed form to the college office
- List of selected candidates after personal interview will be uploaded on the website
- Selected candidates to pay course fee within stipulated time

IMPORTANT DATES

Last date of accepting the forms	10 th June, 2016
Display of shortlisted candidates list	13 th June, 2016
Payment of fees	15 th June to 30 th June
Commencement of course	9 th July, 2016

FEES

The total fees for the certificate course in Securities Law is Rs. 25,000/- plus service tax. The fees is payable by D.D (demand draft) drawn in favour of Pravin Gandhi College of Law.

GENERAL RULES

- Minimum 75% attendance required to qualify for the certificate
- Fees once paid is non refundable
- A student who fails to qualify the exam with minimum marks, can take exam only once in the subsequent batches of the same course if any, conducted at Pravin the Gandhi College of Law. In case of no new batch is conducted, no re-examination will be held for the students who fail to secure the minimum marks required.
- All communication for the course will be through email.

COURSE OUTLINE

Module 1	Fundamentals and Functioning of Capital Markets
Module 2	Reading and Analysing Financial Statements
Module 3	Corporate Rights and Liabilities in Issuing Securities: Companies Act, 2013.
Module 4	Public Offering of Securities: (Documentation and Procedure, Related SEBI guidelines)
Module 5	Capital Market Specific Legislations: SEBI, SCRA., SEBI Tribunal, Corporate Ethics
Module 6	Mock Market and Seminar Presentation by the Participants
Module 7	Mergers, Acquisitions and Take Overs
Module 8	Safety Net: Issuer and Investor Protection: DIP Guide Lines and Insider Regulation
Module 9	Foreign Exchange Management Act
Module 10	Income Tax Act – Related Provisions

EXAMINATION

Examination for certification will be conducted in two phases. Phase I examination will be conducted approximately after completion of 50 hours and Phase II at the end of the programme. Each phase would be of 200 marks.

Phase I – 200 marks

Seminar Presentation – 100 marks

Written Examination - 100 marks

Phase II – 200 marks

Presentation: 50 marks

Dissertation: 50 marks

Written Examination: 50 marks

Examination would be conducted by BSE Institute Limited at Pravin Gandhi College of Law. A candidate shall be declared as to have passed the examination only if he or she secures minimum 50 % marks in the aggregate of the examinations conducted in each of the Phase.

ABOUT PRAVIN GANDHI COLLEGE OF LAW

Pravin Gandhi College of Law affiliated to the University of Mumbai is a premier law institution under the aegis of Shri Vile Parle Kelavani Mandal. This institution is committed to the ideals of excellence in law studies and also seeks to provide an environment for interdisciplinary exchanges.

This institution provides the students with varied platforms to develop their skills; by way of various activities conducted by different committees in the college. Conducting short courses in association with specialised bodies is an endeavour to this effect.

For at the end of their voyage of self-discovery students emerge confident, positive thinking individuals, ably equipped to discharge their assigned roles responsibly on a world stage.

For Queries

Ms. Suman Kalani – 9867011447

Mr. Santosh Rane - 9870168874

ABOUT BOMBAY STOCK EXCHANGE INSTITUTE LIMITED

Bombay Stock Exchange Institute Limited's vision is to be the most sought after learning provider in the world in areas of financial and leadership learning, by pioneering the generation and dissemination of knowledge for the enhancement of skills and capabilities of professionals and aspiring professionals

As a centre of learning, BSEIL's mission is to promote an open learning environment that brings together people, cultures and ideas from around the world, changing lives and helping transform organizations through innovative learning programs.

Through learning programs, BSEIL aims develop responsible, thoughtful leaders and entrepreneurs who create value for their organizations and their communities.

Address for correspondence

Course Co-ordinator
Certificate Course in Securities Law,
Pravin Gandhi College of Law,
8th Floor, Mithibai College Campus,
Bhakti Vedanta Marg,
Vile Parle West, Mumbai – 400056.
Ph No: 022 - 655 43915

Office Timings: 9:00 a.m to 4:00 p.m

Website: www.pgcl.ac.in

Email: pgclsecuritieslaw@gmail.com