



NiSM NATIONAL INSTITUTE OF
SECURITIES MARKETS
An Educational Initiative of SEBI

**Navigate the changing
law-scape in securities markets**



1st Batch

ADMISSION ANNOUNCEMENT

A 2 weeks classroom programme
for students batch

For Whom?

- The programme is intended for those who wants to begin his/her career in legal and compliance domain in securities markets.
- Those aspiring to work with intermediaries such as brokers, asset management companies of mutual funds, merchant bankers, portfolio managers, wealth managers, depositories, depository participants, custodians, bankers to issue, etc., stock exchanges, banks, financial institutions, regulatory bodies, govt. agencies, law firms, investors.
- Working executives in the financial markets domain facing exposure to securities laws.
- Working executives in the legal and compliance domain facing exposure to the technicalities and latest developments in the securities markets.
- Students seeking to supplement their knowledge in securities laws or the securities markets domain.

The basis eligibility for the course is graduation in any discipline from any university recognized by UGC or equivalent. However, the students currently pursuing graduation in any discipline may be considered.

Why CSL?

CSL is designed to enable the participant to understand the critical securities market processes, such as legal, due diligence and compliance. Those with a background in law will appreciate securities market inputs, and conversely, those with a securities market background will better understand compliance and due diligence.

Securities market laws can be demarcated as distinct field of specialization, with a unique body of knowledge. This body of knowledge is undergoing continuous expansion and consolidation. New laws are being written, while old laws are being repealed.

The Sarbanes-Oxley and Dodd-Frank recommendations have far-reaching influences on regulations.

Some of the most recent developments in India relevant to legal and compliance professionals are:

- Vast changes in the Companies Act 2013 and implications for capital issues
- New powers to SEBI to address regulatory arbitrage gaps, including Collective Investment Schemes
- New-age regulations to address matters such as Alternative Investments, Crowd-funding, REITS, Infrastructure Investment Trusts etc.
- Redesigning the regulatory regime for foreign investors (Foreign Portfolio Investors or FPI)
- Anti-money laundering laws and cooperation across jurisdictions in various parts of the world
- Impact of various taxation regimes on investment practices, nationally and internationally
- International influences, such as IOSCO, WFE, FATF, FSB and BIS

The continuous growth and development of capital market in India has created enormous demand for professionals with proficiency in securities laws

The CSL experience will also serve as a continuing professional development programme and update the knowledge of the participants

The participants of the CSL course may seek to start their career with the following organizations:

- Intermediaries : Merchant Bankers - including lead managers, co-lead managers, under-writers to issues, officers in compliance functions in various intermediaries regulated by SEBI such as stock-brokers, stock exchanges, depositories, depository participants, custodians, bankers to issues,

registrars and share transfer agents, credit rating agencies, portfolio managers, mutual funds, alternative investment funds, FPI, KRAs etc. Compliance and investor-relation officers in large companies and industry groups that frequently access the securities markets or engaged in corporate actions such as restructuring, share-buyback, stock splits, ESOPS, listing, FPO, Rights issues, IPP etc.

- Consultants engaged in advising on matters such as corporate finance, restructuring, fund-raising plans, collaborations, FDI, private placements etc.

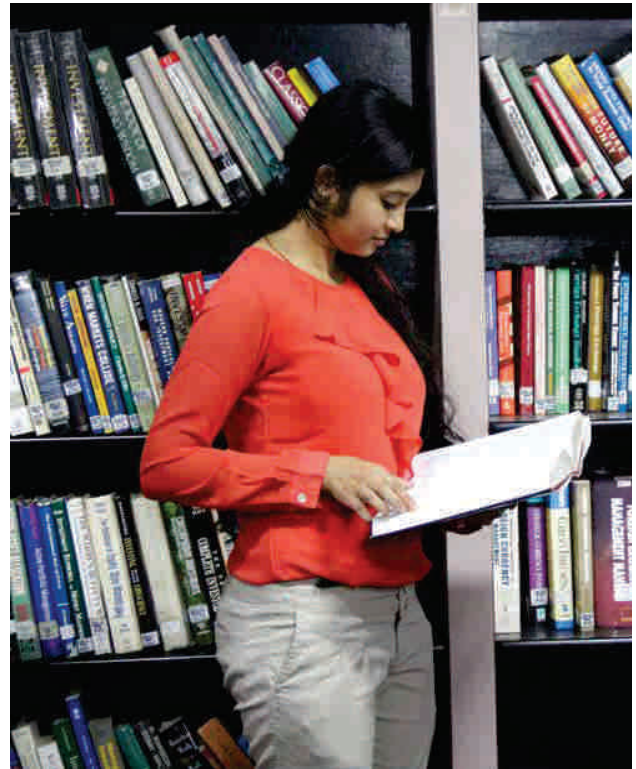
The above organizations may be benefited from the knowledge enhancement of their in-house executives through this course.

What is Unique about CSL

- A 2 weeks classroom programme at a stretch for students batch
- Convenient schedule and timings from 10.00 am to 5.30pm
- Core faculty team being top academicians and officers from SEBI on deputation to NISM
- Interface with market experts
- Globally benchmarked curriculum, updated regularly
- Policy-research based inputs, based on past experience and live projects
- Inputs on regulation from senior officers from SEBI and RBI
- The course would be offered atleast twice in a year for both students and working Executives

Learning Environment

This is a joint programme between The WB National University of Juridical Sciences (NUJS) & National Institute of Securities Markets (NISM) and will be conducted at NUJS Campus, Kolkata.



Programme Design & Content

The CSL programme is conveniently spread across 14 days (2 weeks duration at a stretch) and shall be conducted for 6 hours each day, totaling to 84 hours, as under:

Course Code	Course	Class Hours
101	Corporate and Allied Laws (Including taxation and tax planning)	18
102	Securities Market Laws (Including securities market domain knowledge and international perspectives)	18
103	Securities Market Regulations – I (Issuers and Investors)	18
104	Securities Market Regulations – II (Intermediaries)	18
105	Activity-based Learning (Documentation, Mock Trial, Book/Film Review, Experts' talks, etc.)	12
106	Project Dissertation	00

Fee

The tuition fee, inclusive of course materials will be ₹ 25,000/- plus applicable service tax.
The entire fee is payable on receipt of the admission letter, not later than May 15, 2016.

Hostel Facility

Hostel facility may be provided to the students at NUJS Campus at reasonable rate, subject to availability.
Hostel charges will be over and above the programme fee.

Important Dates

Last date for submission of completed application form:
April 30, 2016

Intimation to short listed candidates:
May 10, 2016

Last date for payment of fees by short listed candidates:
May 15, 2016

Programme commencement:
May 20, 2016

How to Apply?

The application forms may be downloaded from the websites www.nujs.edu or www.nism.ac.in and the duly filled in application form shall be forwarded to NUJS at the address given in the last page.

Alternatively, the scan copy of the completed application form may also be sent by email to vaneetapatnaik@gmail.com or csl@nism.ac.in



Based on scrutiny of the applications, the short listed candidates will need to produce their original documents in respect of their graduation degree or proof of pursuing graduation and other documents in support of their application, along with photocopies of the same, for verification at the time of admission.

About NUJS

The WB National University of Juridical Sciences (NUJS) is one of the premiere national law schools of India. It has constantly been ranked as one of the top law schools of India. NUJS, in its short existence, of about a decade or so has produced outstanding lawyers and legal scholars. NUJS has a very rich faculty, with diverse backgrounds, drawn from almost all corners of India.

Students that have passed out from NUJS are working in top law firms in India and abroad, some are practicing in courts and some have entered the field of legal academics and are teaching in India and abroad.

About NISM

National Institute of Securities Markets (NISM) is a premier capacity building institution, established by the Securities and Exchange Board of India (SEBI), the regulator for securities market in India. It is located in Vashi, Navi Mumbai, India.

The institute has six schools of eminence viz.
School for Investor Education and Financial Literacy (SIEFL)
School for Certification of Intermediaries (SCI)
School for Securities Information and Research (SSIR)
School for Regulatory Studies and Supervision (SRSS)
School for Corporate Governance (SCG)
School for Securities Education (SSE)

National Initiative by NISM

National Centre for Financial Education (NCFE)

The state-of-the-art campus of NISM at Patalganga on the Mumbai-Pune Express Highway with a capacity to cater 1000 students at a time is expected to be functional by mid 2016.

Ms. Vaneeta Patnaik

Assistant Professor in Law

WB National University of Judicial Science (NUJS)

12, LB Block, Dr. Ambedkar Bhavan, Sector III,

Salt Lake City, Kolkata - 700 098

Ph. No. 033 2335 2811

Mo. 9874411183

Email: vaneetapatnaik@gmail.com
vaneetapatnaik@nujs.edu

www.nujs.edu

Mr. Hruda Ranjan Sahoo

Faculty

National Institute of Securities Markets (NISM)

NISM Bhavan, Plot No.82, Sector.17,

Navi Mumbai - 400 703

Ph. No. 022 6673 5162

Mo. 9320334450

E.mail: hruda.sahoo@nism.ac.in
csl@nism.ac.in

www.nism.ac.in